SOLICITOR

AO 120 (Rev. 3/04)		GOLICITOR				
Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office P.O. Box 1450 ACTION REGARDING A PATENT OR Alexandria, VA 22313-1450 MAY - 4 2000 FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR A TRADEMARK OFFICE TRADEMARK						
In compliance	e with 35 U.S.C. § 290 and/or 15	U.S.C. § 1116 you are hereby advi-	sed that a court action has been			
filed in the U.S. Di	strict Court for the District of Ma	ryland on the following	X Patents or \square Trademarks:			
DOCKET NO.	DATE FILED 4/30/09	U.S. DISTRICT COURT FOR TI	HE DISTRICT OF MARYLAND			
RDB-09-CV-1113 PLAINTIFF PFIZER INC., ET AL	4/30/09	DEFENDANT LUPIN LTD, ET AL				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF	PATENT OR TRADEMARK			
1 6,147,819						
2 6,001,876						
3 5,563,X75						
4						
5						
In the abov	e-entitled case, the following pate	nt(s)/ trademark(s) have been inclu	ded:			
DATE INCLUDED	INCLUDED BY Amendment Answer Cross Bill Clother Pleading					
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF	PATENT OR TRADEMARK			
1						
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In the above-entitled case, the following decision has been rendered or judgment issueds.						
DECISION/JUDGMENT						
CLERK Felicia C. Cannon Out Out Out Out Out Out Out Ou						

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

PRAYER FOR RELIEF

WHEREFORE, Pfizer and Northwestern pray for a judgment in their favor and against Defendants Lupin Ltd. and Lupin Pharmaceuticals, Inc., and respectfully request the following relief:

- A. A judgment declaring that Lupin has infringed U.S. Patent No. 6,197,819;
- B. A judgment declaring that Lupin has infringed U.S. Patent No. 6,001,876;
- C. A judgment declaring that Lupin has infringed U.S. Patent. No. 5,563,175.
- D. A judgment pursuant to 35 U.S.C. § 271(e)(4)(B) preliminarily and permanently enjoining Lupin, its officers, agents, servants, and employees, and those persons in active concert or participation with any of them, from manufacturing, using, offering to sell, or selling the Lupin Products within the United States, or importing the Lupin Products into the United States, prior to the expiration of the '819, '876 and '175 patents;
- E. A judgment ordering that pursuant to 35 U.S.C. § 271(e)(4)(A), the effective date of any approval of ANDA No. 91-040 under § 505(j) of the Federal Food, Drug and Cosmetic Act (21 U.S.C. § 355(j)) shall not be earlier than the latest of the expiration dates of the '819, '876 and '175 patents, including any extensions;
- F. If Lupin commercially manufactures, uses, offers to sell, or sells the Lupin Products within the United States, or imports the Lupin Products into the United States, prior to the expiration of any of the '819, '876 and '175 patents, including any extensions, a judgment awarding Plaintiffs monetary relief together with interest;

- G. Attorneys' fees in this action as an exceptional case pursuant to 35 U.S.C. § 285;
 - H. Costs and expenses in this action; and
 - I. Such other relief as the Court deems just and proper.

/s/ George E. Brown

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Geoffrey H. Genth (Bar No.: 08735)
George E. Brown (Bar No.: 14681)
Kramon & Graham, P.A.
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Attorneys for Plaintiffs
Pfizer Inc., Warner-Lambert Company LLC,
and C.P. Pharmaceuticals International C.V.

/s/ William J. Murphy

William J. Murphy (Bar No.: 00497) Murphy & Shaffer LLC 36 S. Charles Street Suite 1400 Baltimore, Maryland 21201 wmurphy@murphyshaffer.com (410) 783-7000 Telephone

Attorneys for Plaintiff Northwestern University

OF COUNSEL:

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Kevin M. Flowers, Ph.D. Marshall, Gertstein & Borun LLP 233 South Wacker Drive 6300 Sears Tower Chicago, Illinois 60606-6357 (312) 474-6300 Telephone

EXHIBIT A

RELATED CASES

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Teva Pharmaceuticals USA, Inc. and Teva Pharmaceutical Industries, Ltd., Civil Action No. 09-cv-00307 (D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. v. Alphapharm Pty. Ltd. and Mylan Pharmaceuticals Inc., Civil Action No. 09-cv-00308 (D.Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Lupin Ltd. and Lupin Pharmaceuticals, Inc., Civil Action No. 09-cv-00309 (D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Sandoz Inc., Civil Action No. 09-cv-00310 (D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Actavis Elizabeth LLC and Actavis, Inc., Civil Action No. 09-cv-00311(D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Wockhardt Limited and Wockhardt USA, LLC, Civil Action No. 09-cv-00312 (D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Sun Pharma Global Inc., Sun Pharmaceutical Industries Ltd. and Sun Pharmaceutical Industries, Inc., Civil Action No. 09-cv-00313 (D. Del.)

Pfizer Inc., Warner-Lambert Company LLC, CP. Pharmaceuticals International C.V. and Northwestern University v. Cobalt Laboratories, Inc. and Cobalt Pharmaceuticals, Inc., Civil Action No. 09-cv-00315 (D. Del.)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleading. Senter pages 31 required by last by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

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I. (a) PLAINTIFFS			DEFENDANTS			
Pfizer Inc., Warner-Lambert Company LLC, C.P. Pharmaceuticals International C.V. and Northwestern University (b) County of Residence of First Listed Plaintiff New York			Lupin Ltd. and Lupin Pharmaceuticals, Inc			
				CONDEMNATION CASES, US	·	
(c) Attorney's (Firm Name, Address, and Telephone Number)			Attorneys (If Known)			
Street, Suite 2600, Balti	ire, Kramon & Graham, P.A., One So more, MD 21202 (410) 752-6030	Ð	_			
II. BASIS OF JURISE	OICTION (Place an "X" in One Box Only)		TIZENSHIP OF PI (For Diversity Cases Only)	RINCIPAL PARTIES	(Place an "X" in One Box for Plainti and One Box for Defendant)	
U.S. Government Plaintiff		1	en of This State		PIF ĎEF rincipal Place 🗇 4 🗇 4	
2 U.S. Government Defendant	☐ 4 Diversity (Indicate Citizenship of Parties in Item III)	Citize	en of Another State	2		
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IV. NATURE OF SUI	T (Place an "X" in One Box Only) TORTS	Pi	ORDITURE/PENAMY	BANKRUPTCY	NEW CONTRACTOR STATEMENTS	
110 Insurance	PERSONAL INJURY PERSONAL INJUR	RY 🗇 61	10 Agriculture	☐ 422 Appeal 28 USC 158	400 State Reapportionment	
120 Marine	☐ 310 Airplane ☐ 362 Personal Injury	,	20 Other Food & Drug	☐ 423 Withdrawal 28 USC 157	410 Antitrust 430 Banks and Banking	
☐ 130 Miller Act ☐ 140 Negotiable Instrument	☐ 315 Airplane Product Med. Malpracti Liability ☐ 365 Personal Injury		25 Drug Related Seizure of Property 21 USC 881	26 030 157	10 450 Commerce	
150 Recovery of Overpayment	320 Assault, Libel & Product Liabilit	ity 🖸 63	30 Liquor Laws	PROPERTY RIGHTS	460 Deportation	
& Enforcement of Judgment	Slander 🗇 368 Asbestos Person		40 R.R. & Truck	☐ 820 Copyrights 2 830 Patent	470 Racketeer Influenced and Corrupt Organizations	
☐ 151 Medicare Act ☐ 152 Recovery of Defaulted	330 Federal Employers' Injury Product Liability Liability		50 Airline Regs. 50 Occupational	840 Trademark	480 Consumer Credit	
Student Loans	☐ 340 Marine PERSONAL PROPE		Safety/Health		1 490 Cable/Sat TV	
(Excl. Veterans)	☐ 345 Marine Product ☐ 370 Other Fraud		90 Other		810 Selective Service 850 Securities/Commodities/	
153 Recovery of Overpayment	Liability 371 Truth in Lendin	_	LABOR 10 Fair Labor Standards	SOCIAL SECURITY 861 HIA (1395ff)	850 Securities/Commodities/ Exchange	
of Veteran's Benefits 160 Stockholders' Suits	☐ 355 Motor Vehicle Property Damag		Act	☐ 862 Black Lung (923)	☑ 875 Customer Challenge	
■ 190 Other Contract	Product Liability 385 Property Damag	ige 🗇 72	20 Labor/Mgmt. Relations	863 DIWC/DIWW (405(g))	12 USC 3410	
195 Contract Product Liability		ty 10 73	30 Labor/Mgmt.Reporting & Disclosure Act	☐ 864 SSID Title XVI ☐ 865 RSI (405(g))	890 Other Statutory Actions 891 Agricultural Acts	
☐ 196 Franchise REAL PROPERTY	Injury CIVIL RIGHTS PRISONER PETITION	ONS C 7	40 Railway Labor Act	FEDERAL TAX SUITS	392 Economic Stabilization A	
☐ 210 Land Condemnation	☐ 441 Voting ☐ 510 Motions to Vac		90 Other Labor Litigation	🗖 870 Taxes (U.S. Plaintiff	3 893 Environmental Matters	
220 Foreclosure	☐ 442 Employment Sentence	D 79	91 Empl. Ret. Inc.	or Defendant)	☐ 894 Energy Allocation Act	
230 Rent Lease & Ejectment	443 Housing/ Habeas Corpus:		Security Act	871 IRS—Third Party	395 Freedom of Information	
240 Torts to Land	Accommodations 530 General 535 Death Penalty	10 m (c)	*IMMIGRATION	26 USC 7609	Act 900Appeal of Fee Determinat	
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	tate Court Appellate Court	Reo	pened another	er district Litigat.or	n Magistrate Judgment	
VI. CAUSE OF ACTI	Cite the U.S. Civil Statute under which you 35 U.S.C. Section 271 (e)(2)(A Brief description of cause: Patent infringment.	\ <u>).</u>	for mar sure larrowerstone			
VII. REQUESTED IN COMPLAINT:		ON D	DEMAND \$	CHECK YES only JURY DEMAND	y if demanded in complaint: D: ☐ Yes Ø No	
VIII. RELATED CAS	(See instructions):	e Att	ached	DOCKET NUMBER		
DATE 12-1	SIGNATURE OF A	ATTORNEY	OF RECORD			
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DECEMBE 4	AMOUNT APPLYING IFP	•	ILIDGE	MAG. JL	JDGE	

IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MARYLAND

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PFIZER INC.	FC 33
235 East 42 nd Street	그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그
New York, New York 10017	
New Tolk, New Tolk Tool/	
WARNER-LAMBERT COMPANY LLC)	
_ ·	
235 East 42 nd Street	
New York, New York 10017	•
C.P. PHARMACEUTICALS)	
•	
INTERNATIONAL C.V.	
235 East 42 nd Street	RDB 0 9 CV 1 1 1 3
New York, New York 10017	MDD @ ACATITY
NORTHWESTERN UNIVERSITY)	
,	
633 Clark Street	
Evanston, Illinois 60208	
Plaintiffs,)	
)	
v.	Civil Action No.:
)	
LUPIN LTD.	
Laxmi Towers, B Wing)	
Bandra Kurla Complex)	
Bandra (East)	
Mumbai, Maharashtra 400 051, India	
and)	
)	
LUPIN PHARMACEUTICALS, INC.	
Harborplace Tower)	
111 South Calvert Street, Suite 2150	
Baltimore, Maryland 21202	
SERVE ON: Resident Agent)	
Vinita Gupta	
Harborplace Tower)	
111 South Calvert Street, Suite 2150	
·	
Baltimore, Maryland 21202	
D C 1 /	
Defendants.	

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COMPLAINT

Plaintiffs Pfizer Inc., Warner-Lambert Company LLC, C.P. Pharmaceuticals International C.V. (collectively, "Pfizer"), and Northwestern University ("Northwestern," and together with Pfizer, "Plaintiffs"), by their undersigned attorneys, for their Complaint against Defendants Lupin Ltd. and Lupin Pharmaceuticals, Inc. (collectively, "Lupin") herein allege:

NATURE OF THE ACTION

1. This is an action for patent infringement under the patent laws of the United States, Title 35 of the United States Code, arising from Lupin's filing of an Abbreviated New Drug Application ("ANDA") with the United States Food and Drug Administration ("FDA") seeking approval to market a generic version of Pfizer's pharmaceutical product Lyrica® prior to the expiration of United States Patent Nos. 6,197,819 ("the '819 patent"), 6,001,876 ("the '876 patent") and 5,563,175 ("the '175 patent") which cover Lyrica® or its use.

THE PARTIES

- 2. Plaintiff Pfizer Inc. is a corporation organized and existing under the laws of the State of Delaware, having a place of business at 235 East 42nd Street, New York, New York.
- 3. Plaintiff Warner-Lambert Company LLC is a limited liability company organized and existing under the laws of the State of Delaware, having a place of business at 235 East 42nd Street, New York, New York. Pfizer Inc. is the ultimate parent of Warner-Lambert Company LLC.

- 4. Plaintiff C.P. Pharmaceuticals International C.V. is a limited partnership organized and existing under the laws of the Netherlands, having a place of business at 235 East 42nd Street, New York, New York. Pfizer Inc. is the ultimate parent of C.P. Pharmaceuticals International C.V.
- 5. Plaintiff Northwestern University is an Illinois corporation, having its principal place of business at 633 Clark Street, Evanston, Illinois.
- 6. On information and belief, Lupin Ltd. is a company organized and existing under the laws of India, having a principal place of business at Laxmi Towers, B Wing, Bandra Kurla Complex, Bandra (East), Mumbai, Maharashta 400 051, India. On information and belief, Lupin Ltd., itself and through its wholly owned subsidiary and agent, Lupin Pharmaceuticals, Inc., is in the business of making and selling generic pharmaceutical products, which it distributes in the State of Maryland and throughout the United States. Lupin Ltd. has previously submitted to jurisdiction in this Court, and has availed itself of the jurisdiction of this Court by asserting counterclaims in lawsuits filed in the United States District Court for the District of Maryland.
- 7. On information and belief, Lupin Pharmaceuticals, Inc. is a corporation organized and existing under the laws of the State of Virginia, having a principal place of business at 111 S. Calvert St., Ste. 2150, Baltimore, Maryland. On information and belief, Lupin Pharmaceuticals, Inc. is an agent and wholly owned subsidiary of Lupin Ltd.

JURISDICTION AND VENUE

- 8. This Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 9. This Court has personal jurisdiction over Lupin by virtue of, <u>inter alia</u>, its presence in Maryland, having conducted business in Maryland, having availed itself of the rights and benefits of Maryland law, previously consenting to personal jurisdiction in this Court, availing itself of the jurisdiction of this Court, and having engaged in systematic and continuous contacts with the State of Maryland.
 - 10. Venue is proper in this District pursuant to 28 U.S.C. §§ 1391 and 1400(b).

THE PATENTS-IN-SUIT

- 11. On March 6, 2001, the United States Patent and Trademark Office issued the '819 patent, entitled "Gamma Amino Butyric Acid Analogs and Optical Isomers." At the time of its issue, the '819 patent was assigned to Northwestern, and Northwestern currently holds title to the '819 patent. A copy of the '819 patent is attached hereto as Exhibit A.
- 12. Northwestern has exclusively licensed the '819 patent to Warner-Lambert Company LLC.
- 13. On December 14, 1999, the United States Patent and Trademark Office issued the '876 patent, entitled "Isobutyl GABA and Its Derivatives for the Treatment of Pain." At the time of its issue, the '876 patent was assigned to Warner-Lambert Company, which subsequently became Warner-Lambert Company LLC. Warner-

Lambert Company LLC currently holds title to the '876 patent. A copy of the '876 patent is attached hereto as Exhibit B.

- 14. On October 8, 1996, the United States Patent and Trademark Office issued the '175 patent, entitled "GABA and L-Glutamic Acid Analogs For Antiseizure Treatment." At the time of its issue, the '175 patent was assigned to Northwestern and Warner-Lambert Company. Warner-Lambert Company subsequently became Warner-Lambert Company LLC. Northwestern and Warner-Lambert Company LLC currently hold title to the '175 patent. A copy of the '175 patent is attached hereto as Exhibit C.
- 15. Northwestern has exclusively licensed the '175 patent to Warner-Lambert Company LLC.

LYRICA®

- 16. Pfizer Inc., itself and through its wholly owned subsidiary C.P. Pharmaceuticals International C.V., holds approved New Drug Application Nos. 21-446, 21-723 and 21-724 ("the Lyrica NDAs") for pregabalin capsules in 25, 50, 75, 100, 150, 200, 225 and 300 mg dosage strengths, which are sold by Pfizer under the trade name Lyrica[®].
- 17. Pursuant to 21 U.S.C. § 355(b)(1), and attendant FDA regulations, the '819, '876 and '175 patents are listed in the FDA publication, "Approved Drug Products with Therapeutic Equivalence Evaluations" (the "Orange Book"), with respect to Lyrica®.

LUPIN'S ANDA

- 18. On information and belief, Lupin submitted ANDA No. 91-040 ("the Lupin ANDA") to the FDA, pursuant to 21 U.S.C. §§ 355(j), seeking approval to market pregabalin capsules in 25, 50, 75, 100, 150, 200, 225 and 300 mg dosage strengths. The pregabalin capsules described in the Lupin ANDA are herein referred to as the "Lupin Products."
- 19. The Lupin ANDA refers to and relies upon the Lyrica NDAs and contains data that, according to Lupin, demonstrate the bioequivalence of the Lupin Products and Lyrica[®].
- 20. Pfizer and Northwestern received from Lupin a letter, dated March 23, 2009 (the "Lupin Notification"), stating that Lupin had included a certification in the Lupin ANDA, pursuant to 21 U.S.C. §355(j)(2)(A)(vii)(IV), that the '819, '876 and '175 patents are invalid, or will not be infringed by the commercial manufacture, use, or sale of the Lupin Products (the "Paragraph IV Certification").

COUNT FOR INFRINGEMENT OF U.S. PATENT NO. 6,197,819

- 21. Plaintiffs reallege and incorporate by reference the allegations of paragraphs 1-20 of this Complaint.
- 22. Lupin has infringed the '819 patent, pursuant to 35 U.S.C. § 271(e)(2)(A), by submitting the Lupin ANDA, by which Lupin seeks approval from the FDA to engage in the commercial manufacture, use, offer to sell, sale, or importation of the Lupin Products prior to the expiration of the '819 patent.

- 23. Lupin's commercial manufacture, use, offer to sell, or sale of the Lupin Products within the United States, or importation of the Lupin Products into the United States during the term of the '819 patent would further infringe the '819 patent under 35 U.S.C. §§ 271(a), (b), and/or (c).
- 24. Plaintiffs will be substantially and irreparably harmed if Lupin is not enjoined from infringing the '819 patent.
 - 25. Plaintiffs have no adequate remedy at law.
- 26. This case is an exceptional one, and Plaintiffs are entitled to an award of attorneys' fees under 35 U.S.C. § 285.

COUNT FOR INFRINGEMENT OF U.S. PATENT NO. 6,001,876

- 27. Pfizer realleges and incorporates by reference the allegations of paragraphs1-26 of this Complaint.
- 28. Lupin has infringed the '876 patent, pursuant to 35 U.S.C. § 271(e)(2)(A), by submitting the Lupin ANDA, by which Lupin seeks approval from the FDA to engage in the commercial manufacture, use, offer to sell, sale, or importation of the Lupin Products prior to the expiration of the '876 patent.
- 29. Lupin's commercial manufacture, use, offer to sell, or sale of the Lupin Products within the United States, or importation of the Lupin Products into the United States during the term of the '876 patent would further infringe the '876 patent under 35 U.S.C. §§ 271(a), (b), and/or (c).
- 30. Pfizer will be substantially and irreparably harmed if Lupin is not enjoined from infringing the '876 patent.

- 31. Pfizer has no adequate remedy at law.
- 32. This case is an exceptional one, and Pfizer is entitled to an award of attorneys' fees under 35 U.S.C. § 285.

COUNT FOR INFRINGEMENT OF U.S. PATENT NO. 5,563,175

- 33. Plaintiffs reallege and incorporate by reference the allegations of paragraphs 1-32 of this Complaint.
- 34. Lupin has infringed the '175 patent, pursuant to 35 U.S.C. § 271(e)(2)(A), by submitting the Lupin ANDA, by which Lupin seeks approval from the FDA to engage in the commercial manufacture, use, offer to sell, sale, or importation of the Lupin Products prior to the expiration of the '175 patent.
- 25. Lupin's commercial manufacture, use, offer to sell, or sale of the Lupin Products within the United States, or importation of the Lupin Products into the United States during the term of the '175 patent would further infringe the '175 patent under 35 U.S.C. §§ 271(a), (b), and/or (c).
- 36. Plaintiffs will be substantially and irreparably harmed if Lupin is not enjoined from infringing the '175 patent.
 - 37. Plaintiffs have no adequate remedy at law.
- 38. This case is an exceptional one, and Plaintiffs are entitled to an award of attorneys' fees under 35 U.S.C. § 285.